INTERNET FORM NLRB-501 (2-08)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

| DO NOT WRITE | IN THIS SPACE |
|--------------|----------------|
| Case | Date Filed |
| 13-CA-217340 | March 28, 2018 |

| INSTRUCTIONS | | |
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| | | |
| | | |

| INSTRUCTIONS: | 13-CA-217340 | IVIaiCii 20, 2010 |
|---|--|--|
| File an original with NLRB Regional Director for the region in which the | | ing |
| | GAINST WHOM CHARGE IS BROUGHT | |
| a. Name of Employer | | b. Tel. No. |
| McDonald's/2707 N. Milwaukee and (2) McDonald's | s USA, LLC, joint employers | |
| | | c. Cell No. |
| | | f. Fax No. |
| d. Address (Street, city, state, and ZIP code) | e. Employer Representative | |
| (1) 2707 N. Milwaukee, Chicago, IL 60647 | | g. e-Mail |
| | | |
| (2) 2111 McDonald's Drive, Oak Park, IL 60523 | | h. Number of workers employed |
| | | (1) Aprox. 60; (2) 100,000 + |
| Type of Establishment (factory, mine, wholesaler, etc.) Restaurant | j. Identify principal product or service Fast Food | |
| k. The above-named employer has engaged in and is engaging | in unfair labor practices within the meaning of se | ction 8(a), subsections (1) and (list |
| subsections) (4) | • | |
| · · · · · | | or Relations Act, and these unfair labor |
| practices are practices affecting commerce within the meaning within the meaning of the Act and the Postal Reorganization A | | ntair practices affecting commerce |
| 2. Basis of the Charge (set forth a clear and concise statement of | of the facts constituting the alleged unfair labor pa | ractices) |
| Within the last six months, the above-named emplo | vers have harassed and implicitly threa | tened employees, and |
| denigrated their participation in Union and protected | | |
| out for such improper and unlawful conduct in retali | • | |
| | | • |
| and in the NLRB process, and in an effort to intimid | ate them and other employees and to d | iscourage them and other |
| employees from participating in such activities. | | |
| | | |
| | | |
| | | |
| 3. Full name of party filing charge (if labor organization, give full | name, including local name and number) | |
| | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | |
| SEIU National Fast Food Workers Union | | |
| 4a. Address (Street and number, city, state, and ZIP code) | | 4b. Tel. No.(b) (6), (b) (7)(C) |
| 850 W. Jackson, Suite 275 | | 4c. Cell No. |
| Chicago, IL 60607 | | |
| | | ^{4d. Fax No.} (312) 243-4731 |
| | | 4e. e-Mail |
| | | |
| 5. Full name of national or international labor organization of wh | ich it is an affiliate or constituent unit (to be filled | in when charge is filed by a labor |
| organization) Service Employees International Union | | |
| 6. DECLARATION | 2.8 | Tel. No. |
| DECLARATION I declare that I have read the above charge and that the statements | are true to the best of my knowledge and belief. | (312) 372-1361 |
| 9/1/ | | Office, if any, Cell No. |
| By // W | Barry M. Bennett, Lawyer | Sinos, ii dily, con vici |
| | Print/type name and title or office, if any) | Fax No. (312) 372-6599 |
| $oldsymbol{ u}$ | | (312) 372-0099 |
| | 2/20/10 | e-Mail |
| Address 8 S. Michigan Avenue, 19th Fl., Chicago, IL 6 | 0603 J () () () () () () () () | bbennett@laboradvocates.com |
| Muless | (uaic) | I . |

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

Cases

| Cases | 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|------------------|---|-----------------|------------|---------|---------------|--|----------|---------------|--------------|--------------------------|--|-------------------------------|------------------------------|---|-----------------|-----|--------------|----------|-------------|---------------------|----------------------------|-------------------------------|-----------------|------------|----|-----------------|------------------|--------|
| Case Numbe | Case Name | Case Actions | Date Filed | Status | IA Categor | Charging Party Petitioner | Blocked | Hot Topics | Dispute/Unit | Dispute Unit State | Charged Party Employer | No. 8(b)(2) Discriminatees | No. 8(a)(3) Discriminatee | No. of Employees on Petition/Charge | | | ub ype Te | eam | Field Agent | Field Supervisor | Barg Status | Closed Reason | Date Closed | New File O | | | Met of Cor | |
| 13-CA- 215604 | McDonald's | Case Actions | 2/27/2018 | Open | 3 | National Fast Food Workers | No | | Chicago | IL | McDonald's | | 1 | 20 | b) (6), (b) (7) | c c | А М | GUERRER | MGUERRER | PPROKOP | None | | | (b) | (7 | ['])(| E)eFik | ed |
| 13-CA- 212908 | McDonald's | Case Actions | 1/11/2018 | Open | 2 | Union NFFWU | No | | Chicago | IL | McDonald's | | 1 | 20 | | c c | А М | GUERRER | MGUERRER | PPROKOP | None | | | , | • | <i>,</i> (| eFik | ed |
| 12.04 | McDonald's | Case Actions | 10/8/2017 | Close | d 1 | Workers Organizing Committee of | No | | Chicago | IL | McDonald's | | | 20 | | c c | A M | GUERRER | MGUERRER | PPROKOP | None | Withdrawa Non- adjusted | 12/15/2017 | | | | eFile | ed |
| 13-CA- 206842 | McDonald's | Case Actions | 9/21/2017 | Close | d 2 | Workers Organizing Committee of | | | Chicago | IL | McDonald's | | 1 | 20 | | c c | A M | IGUERRER | MGUERRER | PPROKOP | None | Withdrawa Non- adjusted | I 12/15/2017 | | | | eFik | ed |
| 13-CA- 206455 | (b) (6), (b) (b) (6), (l (b) (6), (b) d/b/a McDonald's | Case Actions | 9/19/2017 | Close | d 3 | Chicago Workers Organizing Committee of Chicago | No | | Chicago | IL | (b) (6), (b) (b) (6), (t a/b/a McDonald's | | 1 | 20 | | c c | A C | BHILL | CBHILL | JMUTH | None | Withdrawa Non- adjusted | I 11/28/2017 | | | | eFik | ed |
| 13-CA- 206358 | (b) (6), (b) (7) o/b/a McDonald's | Case Actions | 9/18/2017 | Close | d 3 | Workers Organizing Committee of Chicago | | | Chicago | IL | (b) (6), (b) (7) d/b/a McDonald's | | 1 | 20 | | c c | A C | BHILL | CBHILL | JMUTH | None | Withdrawa Non- adjusted | I 11/29/2017 | | | | eFile | ed |
| 13-CA- 206265 | McDonald's | Case Actions | 9/15/2017 | Open | 2 | Workers Organizing Committee of Chicago | | | Chicago | IL | McDonald's | | 1 | 20 | | c c | A M | GUERRER | MGUERRER | PPROKOP | None | | | | | | eFik | ed |
| | Canady Enterprise Corp X d/b/a McDonald's 500 W. Madison St., Chicago, I linois and McDonald's USA, LLC, Joint | Actions | 12/22/2016 | 8 Close | d 3 | Workers Organizing Committee of Chicago | | | Chicago | IL | McDonald's USA, LLC | | 1 | 150,000 | | c c | A JF | PROKOP | JPROKOP | KGIANOPU | None | Withdrawa Adjusted | 2/3/2017 | | | | Writ | ten |
| 13-CA- | Employers 2827 S. Cicero Avenue McDonald's and McDonald's USA, LLC, joint employers | Case Actions | 12/2/2016 | Close | d 2 | Workers Organizing Committee of Chicago | No | | Cicero | IL | McDonalds's USA, LLC | | 1 | 150,000 | | c c | A JF | PROKOP | JPROKOP | KGIANOPU | None | Withdrawa Non- adjusted | I 12/16/2016 | | | | Mrit | ten |
| 13-CA- 188570 | 500 W. Madison Street McDonald's and McDonald's USA, LLC, joint employers | Case Actions | 11/21/2016 | 3 Close | d 2 | SEIU National Fast Food Workers Union | No | | Chicago | IL | McDonald's USA LLC | | | 150,000 | | c c | A JF | PROKOP | JPROKOP | KGIANOPU | Organizational Campaign | Withdrawa Non- adjusted | 12/12/2016 | | | | Vrit | ten |
| | 600 E. Grand Avenue McDonalds and McDonald's USA, LLC, joint employers | Case Actions | 11/17/2016 | 6 Close | d 1 | Workers Organizing Committee of Chicago | | | Chicago | IL | McDonald's USA LLC | | | 100,050 | | c c | A JF | PROKOP | JPROKOP | KGIANOPU | None | Withdrawa Non- adjusted | I 12/12/2016 | | | | Writ | ten |
| | 1951 N. Milwaukee McDonald's and McDonald's USA, LLC, joint employers | Case Actions | 10/18/2016 | 3 Close | d 3 | SEIU National Fast Food Workers Union | No | | Chicago | IL | | | 1 | 150,000 | | c c | A JO | GREENHI | JGREENHI | KGIANOPU | None | Withdrawa Non- adjusted | 12/16/2016 | | | | Writ | ten |
| 13-CA- 179428 | 5153 W. Chicago McDonald's and McDonald's USA, LLC, joint employers | Case Actions | 7/1/2016 | Close | d 3 | Workers Organizing Committee of Chicago | No No | | Chicago | IL | McDonald's USA LLC | | 5 | 50 | | c G | A C | TERRELL | CTERRELL | KGIANOPU | None | Withdrawa Non- adjusted | 11/22/2016 | | | | Writ | ten |
| 13-CA- 177346 | McDonald's | Case Actions | 5/27/2016 | Open | 2 | SEIU National Fast Food Workers Union | No | | Chicago | IL | McDonald's USA LLC | | 2 | 140,000 | | c c | A C | TERRELL | CTERRELL | KGIANOPU | None | | | | | | Vrit | ten |
| 175385 | RMC Enterprises, LLC and McDonald's USA, LLC, joint employers | Case Actions | 5/3/2016 | Close | d 2 | SEIU National Fast Food Workers Union | No | | Chicago | IL | McDonald's USA, LLC, joint employers | | 1 | 100,050 | | c c | A C | ORTEGA | CORTEGA | JHOFSTRA | None | Withdrawa Non- adjusted | 7/18/2016 | | | | Writ | ten |
| 13-CA- | Loftons Holdings Seven, Inc., d/b/a 5153 West Chicago McDonald's and McDonald's USA, LLC, joint employers | Case | 4/21/2016 | Closed | d 3 | SEIU National Fast Food Workers Union | No | | Chicago | IL | McDonalds's USA, LLC | | 1 | 100,050 | | c c | A TF | PORTER | TPORTER | RPAZ | None | Withdrawa Adjusted | 4/27/2016 | | | | M rit | ten |
| 13-CA- 172669 | McDonald's and | Case Actions | 3/28/2016 | Close | d 2 | Workers Organizing Committee of Chicago | | | Chicago | IL | McDonalds USA, LLC | | 1 | 50 | | c c | A E | CASTILL | ECASTILL | JMUTH | None | Withdrawa Non- adjusted | 4/13/2016 | | | | Writ | ten |
| 13-CA- | Lofton Holdings Four, LLC and | Case | | | | SEIU National | | | | | McDonald's USA, LLC, | | | | | | | | | | | Withdrawa | 1 | | | | | \Box |

| | | | | | | | | | | | | | (b) (6), (b) (7)(C | | | | | | | | | , | | | |
|------------------|--|----------------|----------|-------|-------|---|--|-----------|----|---|---|---------|--------------------|----|----------|----------|----------|---------------------------|--------------------------------|-----------|-----|------------|------|-----|---------|
| 165285 | McDonald's USA, LLC, joint employers | Action | 12/1/201 | 5 Clo | sed 2 | 2 | Fast Food No Workers Union | Chicago | IL | joint employers | 3 | 100,040 | | CA | HGUTIERR | HGUTIERR | JSCHRAND | None | Non- adjusted | 12/9/2016 | l(b |) (| 7)(| | Written |
| | Karavites Restaurant, Inc. d/b/a McDonald's,5130 N. Sheridan Road Chicago, IL | Case Action | 9/3/2015 | Оря | en 2 | 2 | SEIU National Fast Food Workers Union | Chicago | IL | McDonald's USA, LLC | 1 | 145,000 | | CA | ECASTILL | ECASTILL | JMUTH | None | | | | <i>,</i> (| , /\ | , , | Written |
| 13-CA- 151797 | McDonald's Restaurants of I linois, Inc. and McDonald's USA, LLC, joint employers | Case Action | 5/6/2015 | Clo | sed 2 | 2 | Workers Organizing Committee No of Chicago | Chicago | IL | McDonalds USA, LLC | 1 | 100,045 | | CA | AHAMPTON | AHAMPTON | JSCHRAND | None | Withdrawal Non- adjusted | 12/1/2015 | | | | | Written |
| 13-CA- 150433 | Tailormade McD, Inc. d/b/a McDonald's and McDonald's USA, LLC, Joint Employers | Case Action | 4/20/201 | 5 Clo | sed 2 | 2 | Workers Organizing Committee No of Chicago | Chicago | IL | Taylormade McD, Inc. d/b/a McDonald's | 1 | 40 | | CA | MHENSEL | MHENSEL | RPAZ | None | Withdrawal Non- adjusted | 7/2/2015 | ile | | | | Written |
| 13-CA- 148538 | Loftons Holdings Seven, Inc. d/b/a McDonald's and McDonald's USA, LLC, Joint Employers | Case Action | 3/19/201 | 5 Clo | sed 2 | 2 | SEIU National Fast Food Workers Union | Chicago | IL | McDonald's USA, LLC | 1 | 100,050 | | CA | ECORTEZ | ECORTEZ | RPAZ | Organizationa Campaign | Dismissal Non- adjusted | 8/26/2015 | | | | | Written |
| 13-CA- 147394 | PMA MCD, Inc., d/b/a McDonald's, 5500 W. Cermak Rd., Cicero, IL and McDonald's USA, LLC, Joint Employers | Case Action | 3/2/2015 | Оря | en 2 | 2 | SEIU National Fast Food Workers Union | Cicero | IL | McDonald's USA, LLC | 1 | 100,060 | | CA | ECASTILL | ECASTILL | JMUTH | None | | | | | | | Written |
| 13-CA- 147150 | McDonald's USA/Joint Employers | Case Action | 2/26/201 | 5 Ори | en 1 | 1 | SEIU National Fast Food Workers Union | Oak Brook | IL | McDonalds USA, LLC | | 1,000 | | CA | LFRIEDHE | LFRIEDHE | RPAZ | None | | | | | | | Written |
| 13-CA- 145869 | 6336 S. Ashland McDonald's and McDonald's USA, LLC, joint employers | Case | 2/5/2015 | Clo | sed 2 | 2 | SEIU National Fast Food Workers Union | Chicago | IL | McDonald's USA LLC | 1 | 32 | | CA | EGALLIAN | EGALLIAN | PPROKOP | None | Withdrawal Non- adjusted | 2/26/2015 | | | | | Written |
| 13-CA- | Seven MCD, Inc. d/b/a McDonald's and McDonald's USA, LLC, joint employers | | 2/5/2015 | Оря | en 2 | 2 | SEIU National Fast Food Workers Union | Chicago | IL | McDonalds USA, LLC | 1 | 40 | | CA | ECORTEZ | ECORTEZ | RPAZ | None | | | | | | | Written |
| 13-CA- 144963 | PMA MCD, Inc., d/b/a McDonald's, 5500 W. Cermak Rd., Cicero, IL | Case Action | 1/23/201 | 5 Оря | en 3 | 3 | SEIU National Fast Food No Workers Union | Cicero | IL | PMA MCD, Inc., d/b/a McDonald's, 5500 W. Cermak Rd., Cicero, IL | 1 | 60 | | CA | CMOLS | | JMUTH | None | | | | | | | Written |

Case Name: McDonald's 2707 N. Milwaukee and McDonald's LLC, joint employers

Case No.: 13-CA-217340

Agent: [AGENT NAME AND TITLE]

CASEHANDLING LOG

| Date | Person Contacted | Method of Contact | Description of Contact or Activity |
|------|---------------------|----------------------|------------------------------------|
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UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Download

REGION 13 Dirksen Federal Building 219 South Dearborn Street, Suite 808 Chicago, IL 60604-2027

Agency Website: www.nlrb.gov Telephone: (312)353-7570 Fax: (312)886-1341 Download NLRB Mobile App

March 28, 2018

McDonald's 2707 North Milwaukee Chicago, IL 60647

McDonald's USA LLC 2111 McDonald's Drive Oak Brook, IL 60523

Re: McDonald's 2707 N. Milwaukee and McDonald's LLC, joint employers Case 13-CA-217340

Dear Sir or Madam:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney Christina B. Hill whose telephone number is (312)353-7599 and e-mail address is Christina.Hill@nlrb.gov. If this Board agent is not available, you may contact Supervisory Examiner Kate Gianopulos whose telephone number is (312)353-4162.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <u>www.nlrb.gov</u>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge April 11, 2018. If the Board agent later asks for more evidence, I strongly urge you or your

representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions

about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Peter Sung Ohr Regional Director

CH/dg Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

| Revised 3/21/2011 | NATIONAL LABOR RELA | TIONS BOARI | D | | | |
|--|---|---|--|-------------------------|--|----|
| QU | ESTIONNAIRE ON COMMER | CE INFORM | MATION | | | |
| Please read carefully, answer all applicable ite | ms, and return to the NLRB Office. If additi | onal space is requ | uired, please add a page | and identify item numb | er. | |
| CASE NAME | | | | CASE NUMBER | | |
| 1 PVACTICAL TITLE OF ENTITY | | 1 1 6 | • •• | 13-CA-217340 | <u>) </u> | |
| 1. EXACT LEGAL TITLE OF ENTITY (| As filed with State and/or stated in lega | I documents for | ming entity) | | | |
| | | | | | | |
| 2. TYPE OF ENTITY | | | | | | |
| [] CORPORATION [] LLC [] L | LP [] PARTNERSHIP [] SOL | E PROPRIETOR | RSHIP [] OTHER | (Specify) | | |
| 3. IF A CORPORATION or LLC A. STATE OF INCORPORATION | B. NAME, ADDRESS, AND RELATION | NSHID (a.g. pas | ant subsidiage) OF AI | I DELATED ENTITIE | EC | |
| OR FORMATION | B. NAME, ADDRESS, AND RELATION | JNSHIP (e.g. par | ent, subsidiary) OF AL | L KELATED ENTITIE | E3 | |
| A TEANIL COD ANY TYPE OF BART | CALEDONIA FULL MANE AND ADDR | ECC OF ALL M | EMBEDS OD DADTS | VEDC | | _ |
| 4. IF AN LLC OR ANY TYPE OF PART | NERSHIP, FULL NAME AND ADDR | ESS OF ALL M | EMBERS OR PARTI | NEKS | | |
| | | | | | | |
| 5. IF A SOLE PROPRIETORSHIP, FUL | L NAME AND ADDRESS OF PROPR | IETOR | | | | |
| | | | | | | |
| 6. BRIEFLY DESCRIBE THE NATURE | OF YOUR OPERATIONS (Products h | andled or manufo | actured, or nature of se | rvices performed). | | |
| | | | | | | |
| 7. A. PRINCIPAL LOCATION: | B. BRANCH LO | CATIONS: | | | | |
| | | | | | | |
| 8. NUMBER OF PEOPLE PRESENTLY | EMPLOYED | | | | | |
| A. Total: | B. At the address involved in this i | natter: | | | | |
| 9. DURING THE MOST RECENT (Che | ck appropriate box): [] CALENDAR Y | R [] 12 MON | THS or [] FISCA | L YR (FY dates | |) |
| A. Did you provide services valued in | arrance of \$50,000 directly to austama | es autaida mane | State? If no indicas | to actual realise | YES | NO |
| \$ | excess of \$50,000 directly to custome | is outside your | State? If no, morea | le actual value. | | |
| B. If you answered no to 9A, did you p | rovide services valued in excess of \$ | 50,000 to custo | mers in your State w | ho purchased goods | | |
| | rectly outside your State? If no, indic | ate the value | of any such service | es you provided. | | |
| \$ C. If you answered no to 9A and 9B, did | rou provide comiese velved in error | as af \$50,000 to | a muhlia utilitias teau | seit erretame | | |
| | broadcasting stations, commercial bu | | | | | |
| less than \$50,000, indicate amount. | \$ | | | | | |
| D. Did you sell goods valued in excess | of \$50,000 directly to customers loca | ted outside you | r State? If less than | \$50,000, indicate | | |
| amount. \$ E. If you answered no to 9D, did you so | all goods valued in excess of \$50,000 | directly to ous | tomers located inside | wour State who | | |
| | ess of \$50,000 from directly outside y | | | | | |
| \$ | | | | | | |
| F. Did you purchase and receive good amount. \$ | | | | | e l | |
| amount. 5 | ls valued in excess of \$50,000 from d | irectly outside | your State? If less t | han \$50,000, indicate | ~ | |
| G. Did you purchase and receive good | | | | | | |
| G. Did you purchase and receive good outside your State? If less than \$5 | Is valued in excess of \$50,000 from e 0,000, indicate amount. \$ | nterprises who | received the goods d | | | |
| outside your State? If less than \$5 H. Gross Revenues from all sales or p | Is valued in excess of \$50,000 from e 0,000, indicate amount. \$ performance of services (Check the lateral performance) | nterprises who | received the goods d | | | |
| outside your State? If less than \$5 H. Gross Revenues from all sales or p [] \$100,000 [] \$250,000 [] \$5 | Is valued in excess of \$50,000 from e 0,000, indicate amount. \$ performance of services (Check the la 00,000 [] \$1,000,000 or more If les | nterprises who | received the goods d | | | |
| outside your State? If less than \$5 H. Gross Revenues from all sales or p [] \$100,000 [] \$250,000 [] \$5 I. Did you begin operations within the | Is valued in excess of \$50,000 from e 0,000, indicate amount. \$ performance of services (Check the la 00,000 [] \$1,000,000 or more If less the last 12 months? If yes, specify | nterprises who regest amount) s than \$100,000 date: | received the goods d | irectly from points | | |
| outside your State? If less than \$5 H. Gross Revenues from all sales or p [] \$100,000 [] \$250,000 [] \$5 I. Did you begin operations within to the second of | Is valued in excess of \$50,000 from e 0,000, indicate amount. \$ performance of services (Check the la 00,000 [] \$1,000,000 or more If less the last 12 months? If yes, specify OCIATION OR OTHER EMPLOYER (| nterprises who regest amount) s than \$100,000 date: | received the goods d | irectly from points | | |
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| outside your State? If less than \$5 H. Gross Revenues from all sales or p [] \$100,000 [] \$250,000 [] \$5 I. Did you begin operations within to the second of | Is valued in excess of \$50,000 from e 0,000, indicate amount. \$ performance of services (Check the la 00,000 [] \$1,000,000 or more If les the last 12 months? If yes, specify OCIATION OR OTHER EMPLOYER (address of association or group). | nterprises who argest amount) is than \$100,000 date: | received the goods of the control of the goods of the control of t | irectly from points | | |
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PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

| MCDONALD'S 2707 N. MILWAUKEE AND MCDONALD'S LLC JOINT EMPLOYERS | | | | | | |
|---|---------------------------------------|--|--|--|--|--|
| MCDONALD'S LLC, JOINT EMPLOYERS Charged Party and SEIU NATIONAL FAST FOOD WORKERS UNION | Case 13-CA-217340 | | | | | |
| Charging Party | | | | | | |
| AFFIDAVIT OF SERVICE OF CHARGE AGAINS | ST EMPLOYER | | | | | |
| I, the undersigned employee of the National Labor Relational 28, 2018, I served the above-entitled document (sollowing persons, addressed to them at the following as | s) by post-paid regular mail upon the | | | | | |
| McDonald's 2707 North Milwaukee Chicago, IL 60647 | | | | | | |
| McDonald's USA LLC 2111 McDonald's Drive Oak Brook, IL 60523 | | | | | | |

March 28, 2018

Date

Is Denise Gatsoudis
Signature

Denise Gatsoudis, Designated Agent of NLRB

Name



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Download

REGION 13 Dirksen Federal Building 219 South Dearborn Street, Suite 808 Chicago, IL 60604-2027

Agency Website: www.nlrb.gov Telephone: (312)353-7570 Fax: (312)886-1341

Download NLRB Mobile App

March 28, 2018

SEIU National Fast Food Workers Union 850 West Jackson, Suite 275 Chicago, IL 60607

Re: McDonald's 2707 N. Milwaukee and McDonald's LLC, joint employers Case 13-CA-217340

Dear Sir or Madam:

The charge that you filed in this case on March 28, 2018 has been docketed as case number 13-CA-217340. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney Christina B. Hill whose telephone number is (312)353-7599 and e-mail address is Christina.Hill@nlrb.gov. If this Board agent is not available, you may contact Supervisory Examiner Kate Gianopulos whose telephone number is (312)353-4162.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you

fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Peter Sung Ohr Regional Director

CH/dg

Enclosure: Copy of charge

cc: Barry M. Bennett, Attorney at Law Dowd Bloch Bennett Cervone Auerbach & Yokich 8 S Michigan Ave, 19th Floor Chicago, IL 60603-3315
 From:
 Barry Bennett

 To:
 Hill, Christina

 Subject:
 NFFWU/McD

Date: Monday, April 23, 2018 4:25:12 PM

Attachments: Letter to Hill 4.23.18.pdf

Hi Christina.

See you on Thursday.

_-

BARRY M. BENNETT DOWD, BLOCH, BENNETT, CERVONE, AUERBACH & YOKICH

8 South Michigan Avenue | 19th Floor | Chicago, IL 60603

Phone 312.372.1361 | Fax 312.372.6599

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MELISSA J. AUERBACH
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ROBERT E. BLOCH
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J. PETER DOWD
JOSIAH A. GROFF
JUSTIN J. LANNOYE
DAVID P. LICHTMAN
GEORGE A. LUSCOMBE III
RONALD M. WILLIS
STEPHEN A. YOKICH

April 23, 2018

WILLIAM M. KINNEY ELIZABETH L. ROWE

VIA EMAIL

Ms. Christina Hill Field Attorney National Labor Relations Board, Region 13 Dirksen Federal Building 219 South Dearborn Street, Suite 808 Chicago, IL 60604

Re: McDonald's/13-CA-217340

Dear Christina:

As you requested, this letter will explain the circumstances leading to the charge in the above matter.

(b) (6), (b) (7)(C) is a (b) (6), (b) (7)(C) of the McDonald's location at 2707 N. Milwaukee. became involved in the Fight for \$15 movement soon after it began, including participating in a strike in (b) (6), (b) (7)(C) 2013. See ¶ 49 of Region 13 consolidated complaint. and other employees were subjected to a variety of unlawful threats and acts of interference perpetrated by, among others, (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C) and (c) (6), (c) (7)(C) and (

In connection with the "settlements" the General Counsel is "negotiating" with McDonald's USA and the franchisees named as respondents in the consolidated complaint, the franchisee apparently agree to provide back pay to (b) (6), (b) (7)(C). On or around March 15, (b) (6), (b) (7)(C) at the facility, called (b) (6), (b) (7)(C) and told that (b) (6), (b) (7)(C) had been deposited in account through direct deposit. (b) (6), (b) (7)(C) said the payment should have been by check but "we" made a mistake and did it by direct deposit, that it was (b) (6), (b) (7)(C) money, but that recommended (b) (6), (b) (7)(C) not

DOWD, BLOCH, BENNETT, CERVONE, AUERBACH & YOKICH

Ms. Christina Hill April 23, 2018 Page 2

touch it until [10] and [10] (b) (6), (b) (7)(C) "go to court." (b) (6), (b) (7)(C) said [10] was not aware of any of that, and (b) (6), (b) (7)(C) told [10] that if [10] wanted more information should come into the store the next day and talk to (b) (6), (b) (7)(C), (b) (6), (b) (7)(C) said [10] would do so, although [10] was not scheduled to work the next day. [10] then checked [10] bank account and found the amount that had been deposited into it was (b) (6), (b) (7)(C) rather than (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C) went in to speak to (b) (6), (b) (7)(C) the next day, March 16. When arrived, (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C) told (c) to come into the office so the three of them could talk. (b) (6), (b) (7)(C) acted (b) (6), (b) (7)(C) because (b) (6), (b) (7)(C) is (b) (6), (b) (7)(C)

I look forward to presenting (b) (6), (b) (7)(C) on Thursday, and I appreciate your courtesy.

Very truly yours,

Barry M. Bennet

BMB/



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 13 Dirksen Federal Building 219 South Dearborn Street, Suite 808 Chicago, IL 60604-2027

Agency Website: www.nlrb.gov Telephone: (312)353-7570 Fax: (312)886-1341

Agent's Direct Dial: (312) 353-7599

May 3, 2018

Steve A. Miller Fisher & Phillips LLP 10 S. Wacker Dr., Suite 3450 Chicago, IL 60606-7592

Re: McDonald's 2707 N. Milwaukee and

McDonald's LLC, joint employers

Case 13-CA-217340

Dear Mr. Miller:

I am writing this letter as a follow-up to our conversation from earlier this week and to advise you that it is now necessary for me to take evidence from your client regarding the allegations raised in the investigation of the above-referenced matter. Set forth below are the allegations and issues on which your evidence is needed, a request to take affidavits, a request for documentary evidence, and the date for providing your evidence.

Board Affidavits: I am requesting to take affidavits from (b) (6), (b) (7)(C) and any other individual you believe has information relevant to the investigation of this matter. Please be advised that the failure to present representatives who would appear to have information relevant to the investigation of this matter, for the purposes of my taking sworn statements from them, constitutes less than complete cooperation in the investigation of the charge. Please contact me by May 16, 2018, to schedule these affidavits.

Documents: Please provide the following documents, along with any and all other evidence you deem to be relevant to the case:

1. Please discuss (b) (6), (b) (7)(C) relationship with the Employer and whether is an agent or supervisor of the Employer under Section 2(11) or 2(13) of the Act.

- 2. Please discuss the allegation that (b) (6), (b) (7)(C) statements were coercive and violated Section 8(a)(1) of the Act.
- 3. Finally, please respond to the allegation that the McDonald's located at 2707 N. Milwaukee Ave. is a joint employer with McDonald's LLC.

Date for Submitting Evidence: To resolve this matter as expeditiously as possible, you must provide your evidence and position in this matter by May 16, 2018. If you are willing to allow me to take affidavits, please contact me by May 16, 2018, to schedule a time to take affidavits. Electronic filing of position statements and documentary evidence through the Agency website is preferred but not required. To file electronically, go to **www.nlrb.gov**, select **E-File Documents**, enter the **NLRB case number**, and follow the detailed instructions. If I have not received all your evidence by the due date or spoken with you and agreed to another date, it will be necessary for me to make my recommendations based upon the information available to me at that time.

Please contact me at your earliest convenience by telephone, (312) 353-7599, or e-mail, christina.hill@nlrb.gov, so that we can discuss how you would like to provide evidence and I can answer any questions you have with regard to the issues in this matter.

Very truly yours,

/s/ Christina B. Hill Field Attorney
 From:
 Barry Bennett

 To:
 Hill, Christina

 Subject:
 13-CA-217340

Date: Thursday, May 31, 2018 1:52:07 PM

Attachments: Letter to Hill 5.31.18.pdf

Please see attached letter.

--

BARRY M. BENNETT DOWD, BLOCH, BENNETT, CERVONE, AUERBACH & YOKICH

8 South Michigan Avenue | 19th Floor | Chicago, IL 60603

Phone 312.372.1361 | Fax 312.372.6599

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DAVID P. LICHTMAN
GEORGE A. LUSCOMBE III
RONALD M. WILLIS

May 31, 2018

WILLIAM M. KINNEY ELIZABETH L. ROWE

STEPHEN A. YOKICH

VIA EMAIL

Ms. Christina Hill Field Attorney National Labor Relations Board, Region 13 Dirksen Federal Building 219 South Dearborn Street, Suite 808 Chicago, IL 60604

Re: McDonald's 13-CA-217340

Dear Ms. Hill:

In response to your call yesterday afternoon regarding cases supporting our position, I respectfully call your attention to the following:

Mesker Door, 357 NLRB 591, 595-96 (2011). Board applied ALJ decision in Great Western, 299 NLRB 1004, 1023 (1990) (reversed in part on other grounds), to hold the employer violated 8(a)(1) and 8(a)(4) "by stating that the multiple charges filed against the Respondent had forced it to incur more than \$200,000.00 in legal fees."

Great Western, supra, where the ALJ found the employer violated 8(a)(1) and 8(a)(4) by telling employees named in the charge that "the charges were costing him money, that only the lawyers were benefitting, and that neither Union nor he were getting anything from the NLRB proceedings."

As to the general issue of *implied* threats, please see *Julius Cohn d/b/a Comas Manufacturing Company*, 59 NLRB 208, 1944 NLRB LEXIS 43, ** 21-24 (1944); *Moksnes Manufacturing Company*, 106 NLRB 1230, 1953 NLRB LEXIS 211, ** 3-5 (1953). Also, please note *Adam Wholesalers, Inc.*, 322 NLRB 313, 1996 NLRB LEXIS 690, ** 8-9 (1996), noting that the severity of the misconduct "is further compounded by

DOWD, BLOCH, BENNETT, CERVONE, AUERBACH & YOKICH

Ms. Christina Hill May 31, 2018 Page 2

the fact that most of the violations were committed by the Respondent's highest ranking official at the Lynchburg facility."

(b) (6), (b) (7)(C) sworn statement was that along with whatever else was said, (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C) both told (b) (6), (b) (7)(C) had lost a lot of money as a result of the case. The case, or "all of this," was an effort by (b) (6), (b) (7)(C) to vindicate (capable investigation concluded was the *employer's* unlawful conduct. What exactly was the purpose of the (b) (6), (b) (7)(C) telling (b) (6), (b) (7)(C) that, if not to coerce (coerce) as to any further exercise of (coerce) rights to challenge unlawful conduct, which presumably would cost poor (b) (6), (b) (7)(C) even more money?

As I said during our conversation yesterday, the root cause of (b) (6). (b) (7)(c) having been forced to expend precious resources on this matter was the unlawful conduct of business. That conduct, of course, is within control and that of (b) (6). (b) (7)(c) and other (b) (6). (b) (7)(c) But what was under (b) (6). (b) (7)(c) control was whether would quietly accept the unlawful conduct directed at control at the breaking the law and being caught at it had cost the store money, that they had learned their lesson, that they appreciated (b) (6). (b) (7)(c) having stood up for control was whether control would challenge it.

Perhaps if (b) (6). (b) (7)(c) and (b) (6). (b) (7)(c) had told (b) (6). (b) (7)(c) that breaking the law and being caught at it had cost the store money, that they had learned their lesson, that they appreciated (c) (6). (b) (7)(c) having stood up for cights, and that they were sorry and would never put control was well as an expression would have served a legitimate, non-coercive purpose. But of course none of that happened. Instead, they simply let (b) (6). (b) (7)(c) know that all of this had cost them money. I submit that any reasonable person would understand those statements to be an expression of dissatisfaction for conduct in asserting conduct in the future.

Very truly yours,

Barry M. Bennett

BMB^{(b) (6).}

From: Ohr, Peter S.

To: Gianopulos, Kate; Hill, Christina
Subject: RE: McDonald"s Agenda, 13-CA-217340
Date: Thursday, May 31, 2018 5:43:56 PM

Agreed

Peter Sung Ohr, Regional Director

National Labor Relations Board, Chicago 312 353-7574

https://www.nlrb.gov/region/chicago https://www.facebook.com/NLRBChicago/

From: Gianopulos, Kate

Sent: Thursday, May 31, 2018 4:14 PM

To: Hill, Christina < Christina. Hill@nlrb.gov>; Ohr, Peter S. < Peter. Ohr@nlrb.gov>

Subject: RE: McDonald's Agenda, 13-CA-217340

I agree.

From: Hill, Christina

Sent: Thursday, May 31, 2018 4:09 PM

To: Ohr, Peter S. <Peter.Ohr@nlrb.gov>; Gianopulos, Kate <Kate.Gianopulos@nlrb.gov>

Subject: McDonald's Agenda, 13-CA-217340

Agenda Minute: McDonald's Agenda Date: May 31, 2018

| Agenda Recommendation:(b) (5), (b) (6), (b) (7)(C) |
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Christina Hill National Labor Relations Board, Region 13 219 S. Dearborn Suite 808 Chicago, IL 60604 312.353.7599 From: Dunham, Geoffrey
To: Gianopulos, Kate

Subject: RE: McDonald"s, 13-CA-217340 - Requesting R2"s Quick Review of R13 Determination

Date: Tuesday, June 5, 2018 5:36:15 PM

Kate, thanks for keeping me apprised, but as you suspected my role as national coordinator is quickly coming to a close. We've filed our brief on the settlement with the ALJ and are waiting for a decision.

(b) (5)

. take care and next time you're in NY,

stop by for a visit...you're always welcome at R2! Geoff

From: Gianopulos, Kate

Sent: Monday, June 04, 2018 11:39 AM

To: Dunham, Geoffrey <geoffrey.dunham@nlrb.gov>

Subject: McDonald's, 13-CA-217340 - Requesting R2's Quick Review of R13 Determination

Importance: High

Geoff,

Hope that this finds you well. Not sure if R2 is still serving as the coordinating Region for McD's cases

(b) (5)

Thanks, Kate

From: Gianopulos, Kate

Sent: Thursday, May 31, 2018 4:14 PM

To: Hill, Christina < Christina.Hill@nlrb.gov>; Ohr, Peter S. < Peter.Ohr@nlrb.gov>

Subject: RE: McDonald's Agenda, 13-CA-217340

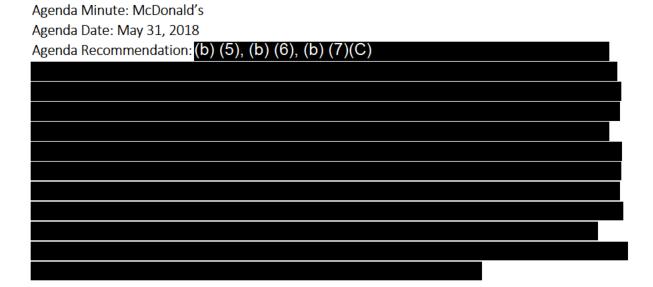
I agree.

From: Hill, Christina

Sent: Thursday, May 31, 2018 4:09 PM

To: Ohr, Peter S. < Peter.Ohr@nlrb.gov>; Gianopulos, Kate < Kate.Gianopulos@nlrb.gov>

Subject: McDonald's Agenda, 13-CA-217340



Christina Hill
National Labor Relations Board, Region 13
219 S. Dearborn
Suite 808
Chicago, IL 60604
312.353.7599

 From:
 Barry Bennett

 To:
 Hill, Christina

 Subject:
 McD/(p)(6)(9)(1)

Date: Tuesday, June 12, 2018 5:40:24 PM

Christina,

Sorry I missed the phone. We learned at a 9 am status hearing that the judge wanted an evidentiary hearing with witnesses.

We will withdraw the charge, No. 13-CA-217340

Please let me know if questions or comments, or if you need anything else.

--

BARRY M. BENNETT DOWD, BLOCH, BENNETT, CERVONE, AUERBACH & YOKICH

8 South Michigan Avenue | 19th Floor | Chicago, IL 60603

Phone 312.372.1361 | Fax 312.372.6599

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Dirksen Federal Building 219 South Dearborn Street, Suite 808 Chicago, IL 60604-2027

Agency Website: www.nlrb.gov Telephone: (312)353-7570 Fax: (312)886-1341

June 13, 2018

Steve A. Miller Fisher & Phillips LLP 10 South Wacker Drive, Suite 3450 Chicago, IL 60606

McDonald's USA LLC 2111 McDonald's Drive Oak Brook, IL 60523

Re: McDonald's 2707 N. Milwaukee and

McDonald's LLC, joint employers

Case 13-CA-217340

Dear Mr. Miller:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

/s/ Peter Sung Ohr

Peter Sung Ohr Regional Director

ce: McDonald's 2707 North Milwaukee Chicago, IL 60647

> Barry M. Bennett, Attorney Dowd, Bloch, Bennett, Cervone, Auerbach, & Yokich 8 South Michigan Avenue, Suite 1900 Chicago, IL 60603

SEIU National Fast Food Workers Union 850 West Jackson, Suite 275 Chicago, IL 60607